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Required Report - public distribution

Date: 12/26/2018

GAIN Report Number: BR 1818

Brazil

Agricultural Biotechnology Annual

Brazil - Agricultural Biotechnology Report

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Report Highlights:

In September 2018, Brazilian farmers celebrated 20 years since the adoption of biotechnology in Brazilian agriculture. Brazil is now the second-largest producer of biotech crops in the world, and the availability of subsidized credit for farmers and foreign investments from large genetic companies has supported the widespread adoption of biotech crops. In the 2017/18 crop season, total area planted with biotech corn, cotton, and soybeans reached nearly 53 million hectares, with an adoption rate of 92 percent for soybeans, 94 percent for cotton, 87 percent for first-crop corn, and 75 percent for second-crop corn. On October 4, the National Technical Biosafety Commission (CTNBio) determined that genome-edited hornless cows are conventional animals. With this determination, commercial production of these genome-edited cows and their derived products can enter the market. In July, a Brazilian judge ordered Monsanto to deposit royalties from sales of Intacta RR2 Pro soybean seed as part of a patent case. Though the case is ongoing, Brazilian soybean producers hope to receive a refund of US\$800 million in previously collected royalties.

Section I. Executive Summary:

Agricultural production for the upcoming crop season (2018/2019) is likely to be 1 percent higher than the record crop of 2016/17, reflecting the continued use of biotechnology seeds, subsidized interest rates for rural credit, and expected favorable weather. The adoption rate of biotechnology in the 2018/19 crop season is likely to reach record levels for area planted with corn, soybeans, and cotton. Farmers have R\$194 billion (US\$50 billion) in credit lines at subsidized interest rates to finance production, biotechnology inputs, and marketing during the crop season. This represents an increase of 2 percent over last crop year.

Brazil is now the second-largest producer of biotech crops in the world, and the availability of subsidized credit for farmers and foreign investments from large genetic companies has supported the widespread adoption of biotech crops. In the 2017/18 crop season, total area planted with biotech corn, cotton, and soybeans reached nearly 53 million hectares, with an adoption rate of 92 percent for soybeans, 94 percent for cotton, 87 percent for first-crop corn, and 75 percent for second-crop corn.

Biotechnology in Brazil faced several advances and challenges in 2018. On October 4, the National Technical Biosafety Commission (CTNBio) determined that genome-edited hornless cows are conventional animals. With this determination, commercial production of these genome-edited cows and their derived products can enter the market. Meanwhile, in July a Brazilian judge ordered Monsanto to deposit royalties from sales of Intacta RR2 Pro soybean seed as part of a patent case. Though the case is ongoing, Brazilian soybean producers hope to receive a refund of US\$800 million in previously collected royalties.

Brazil is a major producer and exporter of a variety of agricultural products, including soybeans, cotton, sugar, cocoa, coffee, frozen concentrated orange juice, beef, poultry, pork, tobacco, hides and skins, fruits and nuts, fish products, and wood products. As a result, the United States and Brazil are sometimes competitors in third-country markets, such as China, which is the largest destination of Brazilian exports, mostly soybeans. In 2017, total Brazilian agricultural exports to China reached US\$24 billion, of which US\$20 billion were soybeans and products. The United States is also a major destination for Brazilian exports, mostly tropical products such as sugar, coffee, tobacco, orange juice, and wood products.

Bilateral agricultural trade between Brazil and the United States reached a record US\$6 billion in 2017, up 2.5 percent from the previous year. Brazil exported to the United States US\$4.5 billion in agricultural commodities and food products and imported US\$1.4 billion of the same. U.S. agricultural exports to Brazil are primarily commodities required to meet local shortfalls, such as wheat and cotton, while consumer-oriented products account for nearly 20 percent of exports. However, in the past two years, ethanol exports to Brazil increased substantially. For 2018, Post estimates an increase of 2 percent in U.S. exports of agricultural products to Brazil, while Brazilian exports of agricultural products to the United States are expected to grow by nearly 10 percent.

Section II.

Chapter 1: PLANT BIOTECHNOLOGY

PART A: Production and Trade

a) Product Development

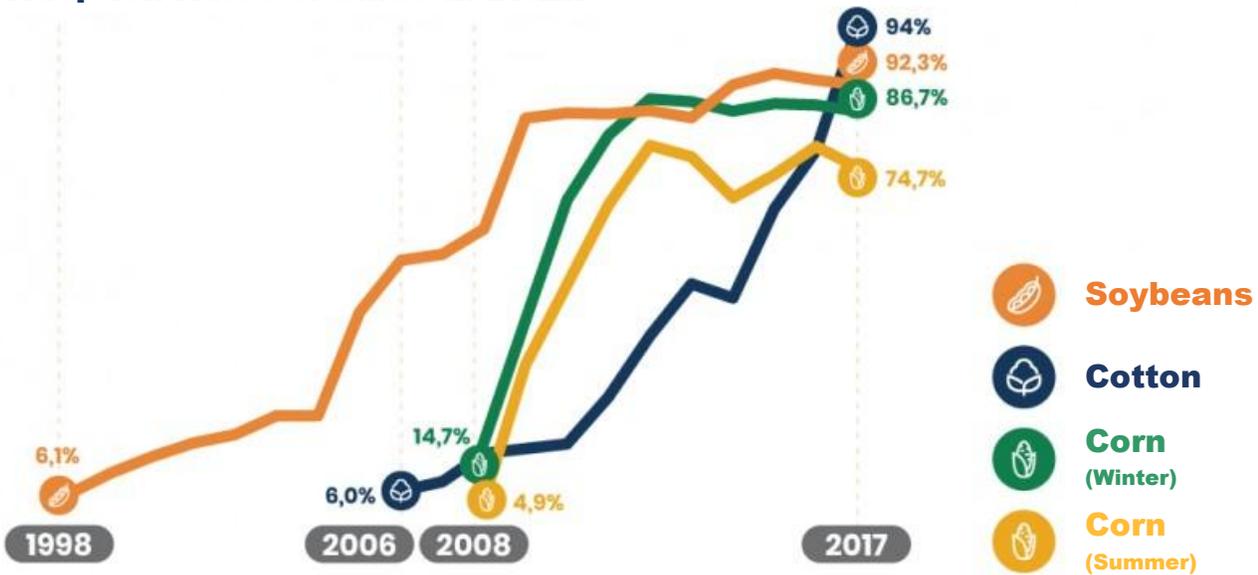
Brazilian and multinational seed companies and public sector research institutions are working on the development of various genetically engineered (GE) plants. Currently, there are a number of GE crops in the pipeline waiting commercial approval, of which the most important are potatoes, papaya, rice and citrus. Most of these crops are in the early stages of development and approvals are not expected within the next five years.

b) Commercial Production

As of October 4, 2018, there are 90 GE events approved for commercial cultivation in Brazil, of which 53 events are for corn, 17 for cotton, 17 for soybeans, one for dry edible beans, one for eucalyptus, and one for sugarcane. The total area planted to GE crops during the last crop season (2017/18) reached 53 million hectares, which places Brazil as the second-largest producer of GE crops in the world. GE events with herbicide tolerance traits lead the adoption rate with 65 percent of the total area planted, followed by insect resistance with 19 percent, and stacked genes with 16 percent, as a combination of both. The widespread adoption of GE events in Brazil has contributed to record soybean and corn crops in recent years, with another bumper harvest forecast for 2019.

- **Soybeans:** The adoption rate of GE soybean seeds in 2017/18 was 92 percent.
- **Corn:** The adoption rate of GE corn seeds in 2017/18 was 87 percent (first crop) and 75 percent (second crop).
- **Cotton:** The adoption rate of GE cotton in 2017/18 was 94 percent.
- **Dry Edible Beans:** Although approved in 2011, GE dry edible beans are not ready to be commercially cultivated.
- **Eucalyptus.** Although recently approved, GE eucalyptus is not ready to be commercially cultivated.
- **Sugarcane.** Although recently approved, GE sugarcane is not ready to be commercially cultivated.

Adoption Rates of GMOs in Brazil



c) Exports

Brazil is one of the leading exporters of biotech soybeans, corn and cotton. China is the main importer of Brazilian biotech soybeans and cotton, followed by the European Union. Corn exports are mainly bound for Iran as well as Vietnam and other Asian countries. Brazil is also a major exporter of conventional soybeans.

d) Imports

The National Technical Biosafety Commission (CTNBio) allows imports of GE events into the country on a case-by-case basis. The Agriculture Ministers of [Argentina](#), [Bolivia](#), [Brazil](#), Chile, [Paraguay](#), and [Uruguay](#) participated in the Southern Agricultural Council (CAS) meeting in late September 2018 and delivered another joint statement calling for the region to work together to reduce the asynchrony in the approvals of biotech events.

e) Food Aid

Brazil is not a food aid recipient from the United States and it is not likely to be in the near future. Brazil is a source of food aid for some countries in Africa and Central America. Brazil donates mostly rice and dry beans, which are currently not biotech products.

PART B: Policy

a) Regulatory Framework

Law #11,105 of March 25, 2005 outlines the regulatory framework for agricultural biotechnology in Brazil. This law was modified by Law #11,460 of 2007 and Decree #5,591 of 2006. There are two main governing bodies regulating agricultural biotechnology in Brazil.

1. The National Biosafety Council (CNBS, in Portuguese). This council falls under the Office of the President and is responsible for the formulation and implementation of the national biosafety policy (PNB, in Portuguese) in Brazil. It establishes the principles and directives of administrative actions for the federal agencies involved in biotechnology. It evaluates socio-economic implications and national interests regarding approval for commercial use of biotech products. No safety considerations are evaluated by CNBS. Under the presidency of the Chief of Staff of the Office of the President, CNBS is comprised of 11 cabinet ministers and needs a minimum quorum of six ministers to approve any relevant issue.

2. The National Technical Biosafety Commission (CTNBio, in Portuguese) was initially established in 1995 under the first Brazilian biosafety law (Law #8,974). However, under the current law, CTNBio was expanded from 18 to 27 members to include official representatives from 9 ministries of the federal government, 12 specialists with scientific and technical knowledge from 4 different areas including animal, plant, environment, and health (3 specialists from each area), and 6 other specialists from other areas such as consumer defense and family farming. Members of CTNBio are elected for two years with a possibility of being re-elected for an additional two years. CTNBio is under the Ministry of Science and Technology. All technical issues are debated and approved by CTNBio. Imports of any agricultural commodity for animal feed or for further processing, or any ready-to-consume food products, and pet food containing biotech events must be pre-approved by CTNBio. Approvals are on a case-by-case basis and are indefinite. Law #11,460 of March 21, 2007 modified Article 11 of Law #11,105 of March 24, 2005 and established that a simple majority of votes is needed, out of 27 on CTNBio's board, to approve new biotechnology products.

On June 18, 2008, CNBS decided that it would only review administrative appeals that are of national interest, involving social or economic issues, as per the Brazilian Biotechnology Law. CNBS will not evaluate technical decisions on biotech events that are approved by the CTNBio. CNBS considers all approvals of biotech events by CTNBio as conclusive. This important decision, along with the change in majority voting, eliminates a major barrier for approval of biotech events in Brazil.

b) Approvals

Cotton

| Crop - year | Trait Category | Applicant | Event | Trait Description | Uses within Brazil |
|--------------------|-----------------------|------------------|--------------------------------------|--------------------------|---------------------------------------|
| Cotton 2018 | Herbicide Tolerant | Dow | DAS 81910-7 | | Textile Fibers Food and Feed |
| Cotton 2018 | Insect Resistant | Dow | DAS-21023-5xDAS 24236-5XSYN-IR 102-7 | | Textile Fibers Food and Feed |

| | | | | | |
|----------------------------------|----------------------------------------------|--------------------|------------------------------------------------------|-----------------------------------------------------|---------------------------------------|
| Cotton 2017 | Herbicide Tolerant Insect Resistant | Bayer | BCS-GH002-5xBCS- GH004-BCSGH005- 8xSYN-IR102-7 | | Textile Fibers Food and Feed |
| Cotton 2017 | Herbicide Tolerant | Monsanto | MON88701-3 | | Textile Fibers Food and Feed |
| Cotton 2016 | Herbicide Tolerant Insect Resistant | Monsanto | COT102xMON15985 X88913 | | Textile Fibers Food and Feed |
| Cotton 2012 | Herbicide Tolerant | Bayer | GHB614 T304-40xGHB1A | Gossypium hirsutum L. | Textile Fibers Food and Feed |
| Cotton 2012 | Herbicide Tolerant Insect Resistant | Monsanto | MON 15985 X 89913 | | Textile Fibers Food and Feed |
| Cotton 2012 | Herbicide Tolerant | Bayer | GHB614 LL Cotton 25 | Gossypium hirsutum L. | Textile Fibers Food and Feed |
| Cotton 2011 | Glyphosate Herbicide | Monsanto | MON 88913 | Gossypium hirsutum L. | Textile Fibers Food and Feed |
| TwinLink 2011 | Glyphosate Herbicide | Bayer | T 304-40 x GHB 119 | Gossypium hirsutum L. | Textile Fibers Food and Feed |
| GlyTol cotton 2010 | Herbicide Tolerant | Bayer | GHB 614 | Gossypium hirsutum L. | Textile Fibers Food and Feed |
| Round Ready Cotton 2009 | Herbicide Tolerant Insect Resistant | Monsanto | MON 531 x MON 1445 | Gossypium hirsutum L. Glyphosate Herbicide | Textile Fibers Food and Feed |
| Bollgard II Cotton 2009 | Insect Resistant | Monsanto | MON 15985 | Gossypium hirsutum L. | Textile Fibers Food and Feed |
| Wide Strike | Insect Resistant | Dow AgroScience | 281-24-236/3006-210-23 | Gossypium hirsutum L. | Food and Feed |

| | | | | | |
|-----------------------------------|----------------------------------------------|----------|--------------|-----------------------------------------------------------------|---------------------------------------|
| Cotton 2009 | Herbicide Tolerant | | | Herbicide glufosinate ammonium | |
| Liberty Link Cotton 2008 | Herbicide Tolerant | Bayer | LL Cotton 25 | Gossypium hirsutum L. Glyphosate Herbicide Ammonium | Textile Fibers Food and Feed |
| Round Ready Cotton 2008 | Herbicide Tolerant Insect Resistant | Monsanto | MON 1445 | Gossypium hirsutum L. Glyphosate Herbicide | Textile Fibers Food and Feed |
| Bollgard Cotton, 2005 | Insect Resistant | Monsanto | BCE 531 | Lepidoptera Order | Textile Fibers Food and Feed |

Corn

| Crop - year | Trait Category | Applicant | Event | Trait Description | Uses within Brazil |
|------------------------|----------------------------------------------|------------------|---------------------------------------------------------|------------------------------|-----------------------------------|
| Corn 2018 | Insect resistant Herbicide Tolerant | Monsanto | 87427xMON89034x MIR162xMON87411 | | Food Feed Imports |
| Corn 2018 | | Syngenta | 3272 | | Food, Feed, Imports |
| Corn 2018 | Insect Resistant Herbicide Tolerant | Syngenta | MZIR 098 | | Food, Feed Imports |
| Corn 2018 | Insect Resistant Herbicide Tolerant | Monsanto | MON 89034xTC1507x MIR162xNK603xDAS 40278-9 | | Food, Feed, Imports |
| Corn 2017 | Herbicide Tolerant Insect Resistant | Syngenta | SYN-BT011-1 xSYN-IR162-4 xMON89034 xMON00021-9 | | Food, Feed, Imports |
| Corn 2017 | Herbicide Tolerant Insect Resistant | Syngenta | SYN-BT011-1 xSYN-IR162-4 xMON89034 | | Food, Feed, Imports |
| Corn | Insect | Syngenta | SYN-IR162- | | Food, |

| | | | | | |
|--------------|-----------------------------------------------------|---------------------|----------------------------------------------------------|--|---------------------------|
| 2017 | Resistant | | 4xMON89034 | | Feed, Imports |
| Corn 2017 | Herbicide Tolerant Insect Resistant | Monsanto | MON89034- 3xDAS01507-1 xMON00603-6 xSYN-IR162-4 | | Food, Feed, Imports |
| Corn 2017 | Herbicide Tolerant Insect Resistant | Dow | MON89034 xTC1507xNK603 xMIR162 | | Food, Feed, Imports |
| Corn 2017 | Insect Resistant | Syngenta | MIR162 xMON89034 | | Food, Feed, Imports |
| Corn 2017 | Herbicide Tolerant Insect Resistant | Syngenta | Bt11xMIR162 xMON89034 | | Food, Feed, Imports |
| Corn 2017 | Herbicide Tolerant Insect Resistant | Syngenta | Bt11xMIR162 xMON89034 xGA21 | | Food, Feed, Imports |
| Corn 2016 | Approved only for human and animal food | Monsanto | MON87460 | | Food, Feed, Imports |
| Corn 2016 | Approved only for human and animal food | Syngenta | 3272 | | Food, Feed, Imports |
| Corn 2016 | Herbicide Tolerant | Monsanto | MON87427 | | Food, Feed, Imports |
| Corn 2016 | Herbicide Tolerant Insect Resistant | Monsanto | MON97411 | | Food, Feed, Imports |
| Corn 2016 | Herbicide Tolerant Insect Resistant | Dow AgroSciences | MON89034-3x MON88017-3x DAS01507x DAS59122-7 | | Food, Feed, Imports |
| Corn 2016 | Herbicide Tolerant Insect Resistant | Dow AgroSciences | MON89034x TC1507xNK603 xDAS40278-9 | | Food, Feed, Imports |

| | | | | | |
|--------------|----------------------------------------------|---------------------|---------------------------------------------|---------------------------------------------|---------------------------|
| Corn 2015 | Fertility Restauration | Du Pont | SPT 32138 | | Food, Feed, Imports |
| Corn 2015 | Herbicide Tolerant Insect Resistant | Syngenta | BT11xMir162 | | Food, Feed, Imports |
| Corn 2015 | Insect Resistant | Syngenta | 5307 | | Food, Feed, Imports |
| Corn 2015 | Herbicide Tolerant Insect Resistant | Syngenta | BT11xMIR162x MIR604xTC1507 x5307xGA21 | | Food, Feed, Imports |
| Corn 2015 | Herbicide Tolerant | Dow AgroSciences | DAS40278x9x NK603 | | Food, Feed, Imports |
| Corn 2015 | Herbicide Tolerant Insect Resistant | Du Pont | TC1507xMON810 xMIR162 | | Food, Feed, Imports |
| Corn 2015 | Insect Resistant | Du Pont | MON 810x MIR 162 | | Food, Feed, Imports |
| Corn 2015 | Herbicide Tolerant Insect Resistant | Du Pont | MIR 162xNK603 | | Food, Feed, Imports |
| Corn 2015 | Herbicide Tolerant Insect Resistant | Du Pont | TC 1507xMIR 162 | | Food, Feed, Imports |
| Corn 2015 | Herbicide Tolerant Insect Resistant | DuPont | TC1507, MON 00810-6, MIR 162, MON 810 | Herbicide Tolerant | Food, Feed, Imports |
| Corn 2015 | Herbicide Tolerant | DuPont | TC1507 X MON 810, MIR 162 X MON 603 | Glufosinate Herbicide Ammonium | Food, Feed, Imports |
| Corn 2015 | Herbicide Tolerant | Monsanto | NK603 x T25 | Glyphosate and Glufosinate Herbicides | Food, Feed, Imports |
| Corn 2015 | Herbicide Tolerant | Dow Agro Science | DAS 40278-9 | Herbicide Tolerant | Food, Feed, Imports |

| | | | | | |
|--------------|-----------------------------------------------|-----------------------------------|-------------------------------------|---------------------------------------------------|----------------------------|
| Corn 2014 | Insect Resistant | Syngenta Seeds | MIR 604 | | Food, Feed, Imports |
| Corn 2014 | Glyphosate Tolerant Insect Resistant | Syngenta Seeds | MIR 604 Bt11xMIR162 xMIR604xGA21 | Glyphosate Tolerant Glufosinate Ammonium | Food, Feed, Imports |
| Corn 2013 | Herbicide Tolerant Insect Resistant | Dow AgroSciences and DuPont | TC 1507 DAS 59122-7 | Glyphosate Herbicide Ammonium | Food, Feed, Imports |
| Corn 2011 | Herbicide Tolerant Insect Resistant | Monsanto | MON 89034 X MON 88017 | Glyphosate Herbicide | Food, Feed, Imports |
| Corn 2011 | Herbicide Tolerant Insect Resistant | DuPont (Pioneer) | TC1507 X MON 810 | Glyphosate Herbicide Ammonium | Food, Feed, Imports |
| Corn 2011 | Herbicide Tolerant | DuPont (Pioneer) | TC 1507 x MON 810 x NK 603 | Glyphosate Herbicide Lepidoptera R. | Food, Feed, Imports |
| Corn 2010 | Herbicide Tolerant Insect Resistant | Monsanto | MON 89034 x TC 1507 x NK 603 | Glyphosate Herbicide Ammonium | Food, Feed , Imports |
| Corn 2010 | Herbicide Tolerant Insect Resistant | Monsanto | MON 88017 | Glyphosate Herbicide Ammonium | Food, Feed, Imports |
| Corn 2010 | Herbicide Tolerant Insect Resistant | Monsanto | MON 89034 x NK 603 | Glyphosate Herbicide Ammonium | Food, Feed, Imports |
| Corn 2010 | Herbicide Tolerant Insect Resistant | Syngenta | BT 11 x MIR 162 x GA 21 | Glyphosate Herbicide Ammonium | Food, Feed, Imports |
| Corn 2009 | Herbicide Tolerant Insect Resistant | DuPont Brasil | TC 1507 x NK 603 | Glyphosate Tolerant Insect Resistant | Food, Feed, Imports |
| Corn 2009 | Insect Resistant | Monsanto | MON 89034 | Lepidoptera Resistant | Food, Feed, Imports |

| | | | | | |
|--------------------------|----------------------------------------------|----------------------|---------------------------|------------------------------------------------------|---------------------------|
| Corn 2009 | Insect Resistant | Syngenta | MIR 162 | Lepidoptera Resistant | Food, feed, Imports |
| Corn 2009 | Herbicide Tolerant Insect Resistant | Monsanto | MON 810 x NK 603 | Glyphosate Tolerant Lepidoptera R. | Food, Feed, Imports |
| Corn 2009 | Herbicide Tolerant Insect Resistant | Syngenta | BT 11 x GA 21 | Glyphosate Tolerant Lepidoptera R. | Food, Feed, Imports |
| Corn 2008 | Herbicide Tolerant Insect Resistant | Dow AgroScience | Tc 1507 Herculex | Glyphosate ammonium Herbicide Tolerant | Food and Feed |
| Corn 2008 | Herbicide Tolerant | Syngenta | GA 21 | Glyphosate Tolerant | Food and Feed |
| Corn 2008 | Herbicide Tolerant | Monsanto | Roundup Ready 2 NK 603 | Glyphosate Tolerant | Food and Feed |
| Corn 2008 | Insect Resistant | Syngenta | Bt 11 | Lepidoptera resistant | Food and Feed |
| Corn 2007 | Insect Resistant | Monsanto | MON 810 Guardian | Lepidoptera resistant | Food and Feed |
| Corn 2007 | Herbicide Tolerant | Bayer CropScience | Liberty Link T 25 | Ammonium Glyphosate tolerant | Food and Feed |
| Imported Corn 2005 | Herbicide Tolerant Insect Resistant | Bayer | Cry 9 (C) NK 603 | Glyphosinate Ammonium Lepidoptera Resistant | Feed |

Soybeans

| Crop - year | Trait Category | Applicant | Event | Trait Description | Uses within Brazil |
|--------------------|----------------------------------------------|------------------|---------------------------------------------|----------------------------------------|-----------------------------------|
| Soybeans 2018 | | Monsanto | MON87751xMON 97708xMON87701 xMON89788 | | Food and Feed |
| Soybeans 2018 | | Du Pont | DP-305423-1x MON 04032-6 | | Food and Feed |
| Soybeans 2017 | Herbicide Tolerant Insect Resistant | Dow | DAS 44406-6 x DAS 81419-2 | Herbicide Tolerant Insect Resistant | Food and Feed |

| | | | | | |
|-----------------------------|----------------------------------------|-------------------|--------------------------------------------|------------------------------------------------------|---------------|
| Soybeans 2017 | Insect Resistant | Monsanto | DAS 87751-7 | Insect Resistant | Food and Feed |
| Soybeans 2017 | Herbicide Tolerant | Monsanto | MON 87708-7xMON 89788 | Herbicide Tolerant | Food and Feed |
| Soybeans 2016 | Herbicide Tolerant | Monsanto | MON 87708-9 | Herbicide Tolerant | Food and Feed |
| Soybeans 2016 | Herbicide Tolerant Insect Resistant | Dow Agro Science | DAS 81419-2 | Herbicide Tolerant Insect Resistant | Food and Feed |
| Soybeans 2015 | Herbicide Tolerant | Bayer | MST-FG072-2 A5547-127 | Herbicide Tolerant | Food and Feed |
| Soybeans 2015 | Herbicide Tolerant | Dow Agro Science | DAS 44406-6 | Herbicide Tolerant | Food and Feed |
| Soybeans 2015 | Herbicide Tolerant | Bayer | MST-FG072-2 | Herbicide Tolerant | Food and Feed |
| Soybeans 2015 | Herbicide Tolerant | Dow Agro Science | DAS 68416-4 | Herbicide Tolerant Gluphosinate ammonium | Food and Feed |
| Soybeans 2010 | Herbicide Tolerant Insect Tolerant | Monsanto | MON 87701 x MON 89788 (Intacta RR2 PRO) | Glyphosate Herbicide Tolerant Insect Resistant | Food and Feed |
| Soybeans 2010 | Herbicide Tolerant | Bayer | Liberty Link A 2704-12 | Gluphosinate ammonium | Food and Feed |
| Soybeans 2010 | Herbicide Tolerant | Bayer | Liberty Link A5547-127 | | Food and Feed |
| Soybeans 2010 | Herbicide Tolerant | Bayer | Liberty Link A 5547-127 | Gluphosinate ammonium | Food and Feed |
| Soybeans 2009 | Herbicide Tolerant | BASF Embrapa | BPS-CV 127-9 | Herbicide Tolerant Imidazolinone class | Food and Feed |
| Soybeans Roundup Ready 2008 | Herbicide Tolerant | Monsanto (Monsoy) | Roundup Ready GTS-40-30-2 | Glyphosate Herbicide Tolerant | Food and Feed |

c) Stacked Event Approvals

Stacked events follow the same approval process as single events and they are treated as new events. In Brazil, it is estimated that stacked events account for 20 percent of the total area in Brazil planted to GE crops.

d) Field Testing

CTNBio is responsible for granting prior approval for all field trials in Brazil. The technology provider must obtain from CTNBio a Certificate of Quality in Bio Safety (CQBs) to perform field-testing. All providers must create an Internal Biosafety Commission (CIBio), and indicate for each specific project a principal researcher, defined in CTNBio's regulations as the "Principal Technical Officer." The provider's CIBios are an essential component for monitoring and testing the work of genetic engineering, manipulation, production, and transportation of GE crops, as well as enforcing biosafety regulations.

e) Innovative Biotechnologies

The National Technical Biosafety Commission (CTNBio) published on January 15, 2018, Normative Resolution #16, which established the requirements to evaluate Precision Breeding Innovation, (TIMP, in Portuguese), which also encompasses the so-called New Breeding Technologies (NBTs). CTNBio regulates NBTs case-by-case and exempts them from regulation when there is no insertion of transgenes. Thus, in some cases, the full risk assessment and management of GMOs must be applied, while in other cases products deriving from NBTs and innovative precision improvements can be exempt.

Specialists consider this a hybrid system, focusing mainly on the characteristics and safety of the final product. It considers whether an introduced genetic material is absent, as well as the risk level classification of the modified organism. When applicable, it also takes into account information on the manipulated genes or genetic elements function and whether the product has already been approved for marketing in other countries.

According to Normative Resolution (NR) #16, CTNBio can exempt new products from the same GMO regulatory assessment. However, since Brazil's previous provisions consisted of GMO regulation heavily triggered by the genetic modification procedures used, NR #16 contains an annex with a list of NBT procedures that may create a product not considered a GMO. It includes the caveat that the resolution is not limited to these examples, and may ultimately apply to other forthcoming technologies. Please see an informal translation of NR #16 in the appendix of this report.

f) Coexistence

Law #11,105 of March 2005 established the legal framework under which biotech crops can be produced and marketed in Brazil. Conventional or non-biotech crops are produced throughout the country with agricultural zoning and environmental limitations mostly applicable in the Amazon biome.

Law #9,456 of April 25, 1997, called the Plant Variety Protection Law, establishes the legal framework for registration of both biotech and non-biotech seeds, but the law does not favor one over the other. Decree #2,366 of November 5, 1997, established the National Plant Varieties Protection Service under the Ministry of Agriculture, Livestock, and Food Supply (MAPA) and regulates the registration of biotech and non-biotech seeds.

Normative Instruction #04/07 issued by the CTNBio establishes rules specifically for biotech corn, regarding the coexistence of biotech and non-biotech crops in Brazil.

g) Labeling

On April 29, 2015, Brazil's House of Representatives approved Draft Bill #4148/2008 by a margin of 320 to 135, to amend the current GE labeling legislation (Executive Order 4,680/2003). The new draft bill establishes that only products, which have more than 1 percent GE material in their final composition, must be labeled. Another important change is the decision to withdraw the requirement for a GE label of a "T" symbol in black in a yellow triangle. The bill is still under consideration in the Brazilian Senate, and will likely continue pending there for another year or two. Currently Executive Order 4,680/2003 is in force as per information below.

On April 2, 2004, the Civil Cabinet of the Presidency published Normative Instruction Number 1, signed by 4 cabinet ministers (Civil Cabinet, Justice, Agriculture, and Health) that established the conditions by which Directive #2,658/03 will enforce the labeling of products containing biotech events above the 1-percent limit. In addition to the federal agencies, Normative Instruction #1 also authorizes state and municipal consumer defense officials to enforce the new labeling requirements.

On December 26, 2003, the Ministry of Justice published Directive #2,658/03 approving the regulations for the use of the transgenic logo. It applies to biotech products for either human or animal consumption, with content above 1 percent. The requirement became effective March 27, 2004.

On April 24, 2003, the President of Brazil published in Brazil's Federal Register ("Diario Oficial") Executive Order #4,680/03 establishing a tolerance limit of 1 percent for food and food ingredients destined for human or animal consumption containing or being produced through biotech events. The Executive Order declares that consumers need to be informed of the biotech nature of the product.

h) Monitoring and Testing

Monitoring and testing in Brazil relates to risk assessment. CTNBio's obligations are, among others, to conduct, case-by-case, risk assessments of activities and projects concerning GE crop events and their by-products, to authorize GE crop research activities, and identify activities and products resulting from the use of GE crops and their by-products that could potentially cause environmental degradation or endanger human health. CTNBio issues final decisions about cases in which the activity is a potential or effective cause for environmental degradation, as well as about the need for environmental permits. CTNBio's decision binds other Brazilian government agencies as to the biosafety aspects of GE crops and their by-products.

The Ministry of Agriculture, Livestock and Food Supply (MAPA) conducts monitoring of GE crop events. According to the legislation in force, MAPA oversees inspection of these events intended for agriculture, animal use, and related fields in the agricultural industry. The Ministry of Health, through the National Surveillance Agency (ANVISA), also inspects the events for toxicology, while the Ministry of the Environment through the Brazilian Institute of Environment and Renewable Natural Resources (IBAMA) monitors and inspects the events and their impact on the environment.

i) Low Level Presence Policy

Brazil has a zero-tolerance policy for unapproved GE food and crop events.

j) Additional Regulatory Requirements

An event approved by the CTNBio requires no further review.

k) Intellectual Property Rights (IPR)

The current Biosafety Law, which provides a clear regulatory framework for the research and marketing of new biotechnology crops in the country, has encouraged Brazil's federal government to embrace and protect new technologies that benefit agriculture. Multinational companies such as Bayer (including the former Monsanto), Syngenta and BASF, have licensing agreements with the Brazilian Agriculture and Livestock Research Enterprise (EMBRAPA), which is linked to MAPA to develop plant biotech crops, mostly for soybeans, corn and cotton. In general, at the beginning of the new crop year, technology providers negotiate payment agreements with individual Brazilian states and farmer associations for the collection of royalties. Monsanto also pursues an export-licensing scheme to collect royalties on soybean and product shipments at ports of destination in countries where Monsanto has a patent on the Roundup Ready (RR) soybean technology.

On November 8, 2017, soybean and corn growers of the state of Mato Grosso (Brazil's largest producing-state, filed a court case against Monsanto to cancel the patent of Monsanto's Intacta RR2 PRO. The local growers, under the umbrella of their association (APROSOJA-MT) claim irregularities in the registration of the event and failure to prove technological innovations, as Intacta RR2 PRO is not a "new technology", but rather "genetic engineering." The patent protection for Intacta RR2 PRO extends through October 2022. This is the second time producers from Mato Grosso have challenged Monsanto in Brazil. In 2012, APROSOJA-MT claimed Monsanto was charging royalties over a patent that had expired two years before and, in 2013, after the legal dispute, Monsanto stopped collecting royalties linked to its first-generation RR technology. If producers win the 2017 case, they expect to save R\$130.00 (about US\$40.00) per hectare, or R\$2.6 billion (about US\$ 815 million) for the 2017-18 crop season. According to APROSOJA-MT, during the 2016/17 crop season, 53 percent of Brazil's soy area was planted with Intacta technology, 40 percent with Roundup Ready seed technology, and only 7 percent is non-GM. Note: there is no update of this legal case as of Dec 20, 2017.

In early July 2018, a Brazilian judge ordered Monsanto to deposit the royalties related to its Intacta RR2 Pro soy seed in an escrow account pending the outcome of litigation over a patent dispute between Brazilian soy grower and the U.S. seed company. Brazilian soy growers expect to be awarded R\$ 800 million (US\$ 200 million) in previously collected royalties related to this case.

l) Cartagena Protocol Ratification

In November 2003, Brazil ratified the United Nations Cartagena Protocol on Biosafety (under the UN Convention on Biological Diversity). With few exceptions, the Government of Brazil (GOB) is supportive of the positions advocated by the U.S. Government regarding the liability and redress provisions under the supplementary agreement to the Cartagena Biosafety Protocol. One notable

exception is that the GOB considers the provisions regarding treatment of non-parties to be closed already. The GOB is also opposed to strict liability, but agrees to use a narrow definition of damage and supports the idea of a limited narrow definition of an operator. The GOB is also opposed to the mandatory use of insurance or other financial instruments for the shipment of living modified organisms (LMOs).

m) International Treaties and Fora

Like the United States, Brazil promotes science-based standards and definitions in international fora with an aim to remove unscientific sanitary and technical barriers to trade. Brazil supports labeling of GE plant products in international fora.

n) Related Issues

Brazil continues to be a reliable partner with the United States in conducting joint outreach in third countries. Global food security and the particular role of biotechnology therein, is a driving force behind enhanced collaboration.

PART C: Marketing

a) Public/Private Opinions

A poll conducted in the second quarter of 2016 regarding public perception of biotech products concluded that 80 percent of Brazilians are concerned with the word “*transgenic*” and that 33 percent of Brazilians think that consuming these products can do harm. According to Brazilian analysts, the bad image of “*transgenic*” products is related to the high use of pesticides in Brazil. The poll also showed that most Brazilians do not know which biotech plants are grown in Brazil.

The marketing campaign “Brazil Better without Transgenic” is against the use of GE crops in Brazil. The campaign is sponsored by Greenpeace and supported by certain environmental and consumer groups, including government officials within the Ministry of Environment, some political parties, the Catholic Church, and the Landless Movement. The campaign against GE plant and plant products in Brazil is more effective among large retailers and food processors than among Brazilian consumers in general.

b) Market Acceptance

Acceptance of biotech crops in Brazil is widespread among producers. According to the Brazilian Farm Bureau (CNA), the latest full survey among Brazilian farmers, which covers the last three years, showed an 80 percent acceptance rate of biotech crops.

However, meat processors, the food processing industry, and retailers are less receptive to biotechnology, especially the French-owned hypermarkets located throughout Brazil. These groups are concerned that a marketing campaign against their products would be spearheaded by environmental and consumer groups. However, tests conducted by these groups showed a minimum of biotech residues in several consumer ready products,

The Brazilian Food Industry Association indicated that 74 percent of Brazilian consumers have never heard of biotech products. In general, Brazilian consumers are disengaged from the biotechnology debate, as they are more concerned about price, quality and the expiration date of their foods. However, a small number of consumers avoid GE plant products and their derivatives.

c) Marketing Studies

The following organizations offer articles and studies regarding Brazil-specific studies on the marketing of GE plants and plant products. All the studies are in Portuguese:

National Association of Biosecurity (Anbio): <http://www.anbio.org.br/>

Biotechnology Information Council (CIB): <http://www.anbio.org.br/>

Brazilian Food Industry Association (Abia): <http://www.abia.org.br/>

CHAPTER 2: ANIMAL BIOTECHNOLOGY

PART D: Production and Trade

a) Biotechnology Product Development

Brazil is the second largest producer of GE plants in the world, but research and application of animal biotechnology, including animal cloning and GE animals is nascent. EMBRAPA has been successful with GE dairy cattle, and research with recombinant proteins is in the pipeline. Two calves born in 2013 are part of this research. Another project is GE technology to improve the health of beef cattle and increase cattle weight. The state of Ceará produced two GE goats that yield higher levels of a human antimicrobial protein proven effective in treating diarrhea in young pigs. The research demonstrated the potential for food products from GE animals to benefit human health. This project was in cooperation with the University of California at Davis.

Brazil has a well-developed research system for cloned animals under the national coordination of EMBRAPA. Cloning research started in the late 1990s in Brazil, mostly focused on cattle. In March 2001, Brazil was successful in cloning a Simmental heifer, named "Vitoria." The second clone was born in 2003 from cells of a Holstein cow named "Lenda da EMBRAPA." The third clone was obtained in April 2005 from a native cow named "Junqueira" that is on an endangered species list.

b) Commercial production

Commercial Somatic Cell Nuclear Transfer (SCNT) cloning in Brazil is practiced by a small number of companies, mostly under a partnership with EMBRAPA. These companies have cloned cattle for use as elite show and breeding animals. Since May 2009, MAPA changed its regulation to allow the genetic registration of cloned cattle under the Brazilian Zebu Cattle Association (ABCZ), since this breed of animal (Brazilian Zebu, similar to the Brahman in the United States) represents about 90 percent of the cattle base in Brazil.

On April 10, 2014, CTNBio approved the first commercial release of GM mosquitoes in Brazil. A British company, OXITEC, which was sold to INTREXON from the United States, produced the GM *Aedes aegypti* mosquitoes (OX513A). Despite the commercial approval by CTNBio, Brazil's National Health Surveillance Agency (ANVISA), under the Ministry of Health (MS), and equivalent to the Food and Drug Administration in the United States, has not approved the commercial use in Brazil of OX513A, but provides a Temporary Special Registry (RET, in Portuguese) for research use.

As of December 20, 2017, Brazil has 28 GE vaccines released by CTNBio for commercial use, 14 microorganisms, and one medication for the treatment of skin cancer.

BRAZIL: LIVE VACCINES AND DERIVED PRODUCTS FROM GENETICALLY MODIFIED ORGANISMS APPROVED COMMERCIALY IN BRAZIL FOR HUMAN/ANIMAL CLINICAL USE

| Product | Characteristics | Company | DOCUMENT/DATE |
|---------------------|-------------------------------------------------------------------|----------------|----------------------|
| Recombitek | Cães/Viroses | Merial | Com 38/98 |
| Vaxxitek MD/IBD | Aves/Marek-Gumboro | Merial | Com 99/04 |
| Suvaxyn PCV2 | Suínos/Circovirose | Fort Dodge | 1300/2008 |
| Ingelvac | Suínos/Circovirose | Boehringer | 1427/2008 |
| P. Circumvent | Suínos/Circovirose | Intervet | 1591/2008 |
| Poulvac | Aves/ <i>E. coli</i> | Fort Dodge | 2146/2009 |
| Vectormune FP-MG | Aves/Roup-Micoplasma | Ceva | 2214/2009 |
| Vectormune FP-MG+AE | Aves/Roup-Encefalomielite | Ceva | 2226/2009 |
| Vectormune HVT-IBD | Aves/Marek-Gumboro | Ceva | 2280/2010 |
| Vectormune HVT-NDV | Aves/Marek-Newcastle | Ceva | 2279/2010 |
| PouvacSt | Aves/Salmonelose | Fort Dodge | 2741/2010 |
| Vectormune FP-LT | bouba aviária e laringotraqueíte aviária | Ceva | 2957/2011 |
| Vectormune FP-LT-AE | bouba aviária, laringotraqueíte aviária e encefalomielite aviária | Ceva | 2958/2011 |
| INNOVAX ILT | Aves/Marek e Laringotraqueíte | Intervet | 2872/2011 |
| InnovaxND | Aves/Marek e Newcastle | Intervet | 3265/2012 |
| ProteqFlu TE | Influenza e tétano equino | Merial | 3636/2013 |
| ProteqFlu | Influenza equina | Merial | 3637/2013 |

| | | | |
|--------------------------------------------|------------------------------------------------------------------------------------------------------------|------------------------------------------------|--------------------|
| Vectormune HVT-LT | laringotraqueíte aviária e Doença de Marek, Sorotipo 3 | Ceva | 4304/2014 |
| PRO-VAC Circomaster | Circovirose Suína | Vencofarma | 4090/2014 |
| B058 | Circovirose Suína | Ourofino | 4202/2014 |
| Bovela | Diarreia bovina | Boehringer | 4594/2015 |
| Vacina Dengue 1,2,3,4 | Vacina contra Dengue | Inst. Butantan | 4673/2015 |
| Dengvaxia | Vacina Contra a Dengue | Sanofi Aventis | 4759/2015 |
| Bay98 | Imunoestimulante | Bayer | 4915/2016 |
| HIPRABOVIS IBR MARKER LIVE | Vacina contra Hesper Bovina | Hipra | 5005/2016 |
| OncoVEXGM-CSF | Tratamento melanomas | Lab. Bergamo | 5099/2016 |
| Vacina Biotech Vac Salmonella | Vacina contra salmonelose aviária | Vetanco do Brasil Importação e Exportação Ltda | 5331/2017 |
| Vacina PUREVAX RAIVA | Vacina contra a raiva para felinos | Merial | 5407/2017 |
| PROTEQFLU | Vacina contra a influenza de equinos | Merial | 5486/2017 |
| Purevax Felv | Vacina viva contra o vírus da Leucemia Felina | Merial | 5935/2018 |
| INNOVAX ND-IBD | Vacina recombinante viva, contra as doenças de Marek, Newcastle e Gumboro | Merial | 5836/18 |
| Newxxitek HVT+ND | Vacina viva contra Doença de Marek e Doença de Newcastle - Vírus da Doença de Marek como vetor, Sorotipo 3 | Merial | 5861/2018 |
| Ingelvac Provenza | Vacina vírus vivo modificado contra Influenza Suína | Boehringer | Aguarda publicação |
| Vacina Recombinante Aviária Código 1062.R0 | contra Doença de Marek e Influenza Aviária | Ceva | Aguarda publicação |

Source: CTNBio

c) Biotechnology Exports

None for commercial use.

d) Biotechnology Imports

None for commercial use.

PART E: Policy

a) Regulatory Framework

GE animals and GE vaccines are under the same legislation as GE plants and subject to the approval of CTNBio. See Regulatory Framework, under Chapter 1, Part B (Policy).

Animal cloning and their products do not have a regulatory framework approved in Brazil either at federal or state levels. A draft bill (#73, dated March 7, 2007) is still before Brazil's Senate that proposes to regulate the cloning of animals, including wild animals and their offspring.

The draft bill proposes to make MAPA responsible for the registration of all institutions, both private and public, that conduct research on cloned animals, including the authorization for commercial sales and imports of cloned animals for genetic or food purposes.

Since there is no regulation in place for cloned animals and their products, MAPA cannot authorize any imports of cloned animals or their products (meat or dairy products) to Brazil. The same applies for the progeny of cloned animals and their products.

Under the Draft Bill #73, the authorization for imports of cloned animals and their products will be provided within 60 days after MAPA receives all documentation from the exporting company, such as origin of the animal, characteristics of the animal, destination of the animal in Brazil, and the purpose of imports (genetic or food).

The proposed legislation also differentiates between two types of authorization for imports of cloned animals and their products:

- a) Pharmaceutical or therapeutic use will require authorization under ANVISA, Ministry of Health and,
- b) Cloned animals and their products involving genetically modified organisms will require authorization from CTNBio, under the Ministry of Science and Technology.

Draft Bill #73 does not refer to labeling of products derived from cloned animals. However, political analysts expect strong pressures from anti-biotech groups in Brazil to apply the same principles of Brazil's Biotech Law and use Brazil's Consumer Defense Code to pressure the government for a specific label for cloned animals and their products.

b) Innovative Biotechnologies

On October 4, 2018, CTNBio determined the genome-edited hornless cow produced by the U.S. company Recombinetics to be a conventional animal. Brazil made this determination based on Normative Resolution #16 for this first genome-edited animal. This means that Recombinetics can now begin commercial production of these genome-edited cows in Brazil and products from these cows, once approved by CTNBio on a case-by-case basis, can enter the market as being from conventional animals.

There are no further details available about this particular decision of the first gene-edited animal in Brazil. Moreover, there is no inventory of animal traits “in the pipeline.” Since this cow was approved as a conventional animal, it will be treated as any other cow in Brazil. The Ministry of Agriculture, Livestock and Food Supply (MAPA) has not issued any notification or regulation about this decision by CTNBio.

Because this is a new technology, MAPA does not have in place a segregation system for gene-edited animals, neither for exports nor for imports. However, Brazil has a traceability program, now under a private farm organization, to trace meat from approved Brazilian cattle farms to the European Union. Packers declare meat from these animals as hormone-free and laboratory tests are conducted according to a “sampling” plan. These same traceability standards would hypothetically apply to genome-edited animals and products approved by CTNBio as conventional.

c) Labeling and Traceability

The same regulations and laws as described under Section II, Part B, (g) apply to GE animals, although some specific requirements such as labeling and traceability have not yet been developed for GE animals.

The regulatory framework for animal cloning is under review in Congress and will likely fall under the authority of MAPA. There are no specifics in the draft legislation for animal cloning regarding labeling and traceability for products of animal cloning.

Brazilian consumer law applies to all products of GE plants, GE animals or animal cloning in terms of basic and general information about the product for the consumer.

d) Intellectual Property Rights (IPR)

The Brazilian Biosafety Law, which provides a clear regulatory framework for the research and marketing of new biotechnology crops in the country, has encouraged the GOB to embrace and protect new technologies that benefit agriculture. Since there are no commercial releases of GE animals and products, this area of IPR has not been tested.

e) International Treaties and Fora

Brazil is a member of both the Codex Alimentarius (CODEX) and the World Organization for Animal Health (OIE). Brazil is also a signatory to parts of the Cartagena Protocol.

PART F: Marketing

a) Public/Private Opinions

Brazilian cattle producers are strong advocates of this new technology and support the approval of animal cloning regulation in Congress and that the authority for this new area fall under the auspices of MAPA.

b) Market Acceptance

This area has not been tested in terms of consumer and retailer acceptance or rejection. However, Brazilian cattle producers are enthusiastic about the potential of this new technology.

c) Market Studies

Most market studies can be found on the home page of EMBRAPA: <http://www.embrapa.br/>

APPENDIX

Normative Resolution No. 16, of January 15, 2018 (Informal Translation)

Establishes the technical requirements for submitting a consultation to CTNBio on Innovative Techniques for Improvement of Precision Breeding

THE NATIONAL TECHNICAL BIOSAFETY COMMISSION - CTNBio, in the use of its legal and regulatory authority and in compliance with the provisions contained in items XV and XVI of article 14 of Law 11,105 of March 24, 2005;

CONSIDERING the need to evaluate the Innovative Techniques for Improvement of Precision (TIMP) of the English Precision Breeding Innovation (PBI) and which also encompasses the so-called New Breeding Technologies -NBTs, in light of the precepts provided for in Law No. 11,105 of March 24, 2005;

Considering that Law No. 11,105 of 2005 defines recombinant DNA/RNA molecules, genetic engineering and genetically modified organisms - GMOs in items III, IV and V of its article three, respectively;

Whereas TIMPs encompass a set of new methodologies and approaches differ from the genetic engineering strategy by transgene, as it results in the absence of recombinant DNA/RNA in the final product;

Whereas TIMPs can introduce innovative uses of molecular biology tools, which can result in:

1. In the precise editing of genomes, by induction of specific mutations, generating or modifying wild and/or mutated alleles without transgene insertion(s);
2. In genetic transformation and/or control of gene expression (activation/inactivation);
3. In epigenetic regulation of the expression of genes by natural mechanisms without genetic modification in the individual;
4. In genetic transformation and/or control of gene expression with genes of sexually compatible species;
5. In temporary and non-inheritable genetic transformation of cells and tissues;
6. On permanent or non-host infection of genetically modified viral elements;
7. In the creation of alleles with autonomous inheritance and potential of recombination with the possibility of altering a whole population (gene drive); and
8. In the construction of heterologous genes or new copies of homologous genes.

Resolve:

Article 1. Examples of Innovative Techniques for Improvement of Precision (TIMP), but not limited to these, are the technologies described in Annex I that are part of this Normative Resolution, which may originate a product not considered as a Genetically Modified Organism (GMO) and derivatives, as defined in Law No. 11,105 of March 24, 2005.

Paragraph one. The product referred to in the heading of this article is defined as the offspring, lineage or product of a process that uses Innovative Precision Improvement Techniques in one of its development stages.

Paragraph two. The cases to be classified are not limited to the technologies described in Annex I, since the rapid and continuous advancement of different technologies may provide new products, to which the provisions of this Normative Resolution will also apply.

Paragraph three. The products referred to in the main paragraph of this article imply at least one of the following characteristics:

I - product with proven absence of recombinant DNA/RNA, obtained by a technique employing GMOs as a parent;

II - product obtained by technique using DNA/RNA that will not multiply in a living cell;

III - product obtained by a technique that introduces targeted site mutations, generating gain or loss of gene function, with the proven absence of recombinant DNA/RNA in the product;

IV - a product obtained by a technique where there is a temporary or permanent expression of recombinant DNA/RNA molecules, without the presence or introgression of these molecules in the product; and

V - A product where techniques employing DNA/RNA molecules are used which, whether absorbed or not systemically, do not cause permanent modification of the genome.

Sole paragraph. In the case of a product obtained from a GMO with the favorable opinion of CTNBio for commercial release, the conditions described will apply only to the characteristic introduced by TIMP.

Article 2. In order to determine whether the product obtained by TIMP will be considered as a GMO and its derivatives, pursuant to article three of Law 11,105 of 2005, the applicant must submit a request to CTNBio.

Paragraph one. The consultation shall be instructed with the information contained in Annex II of this Normative Resolution.

Paragraph two. Once the consultation with CTNBio has been filed, its extract will be published in the Official Gazette of the Union and distributed to one of the members, titular or alternate, to report and prepare a final opinion.

Paragraph three. The final opinion of the member shall be based on a case-by-case analysis of the proof of compliance at least one of the conditions described in § three of article One of this Normative Resolution.

Paragraph four. For the products and technologies obtained using the techniques exemplified in Annex I, CTNBio's decision will observe compliance with one or more of the conditions described in § 3 of article one of this Normative Resolution and will be conclusive regarding the application of the definitions of articles three and four of Law 11,105 of 2005.

Article 3. The final opinion referred to in paragraph 2 of art. Two of this Normative Resolution shall be submitted to at least one of the Standing Sectoral Subcommittees, in agreement with the parental organism and the proposed use of the technique submitted for consultation and, after its approval, shall be referred to the CTNBio plenary for deliberation.

Sole paragraph. The Subcommittees will have a deadline of up to ninety days for analysis and elaboration of opinions, and may be extended for the same period by decision of the CTNBio plenary.

Article 4. CTNBio may, because of consultation and with due scientific justifications, request additional information or studies.

Article 5. The situations not foreseen in this Normative Resolution will be evaluated and defined, case by case, by CTNBio.

Article 6. This Normative Resolution comes into force on the date of its publication.

ANNEX I: Examples of Innovative Precision Improvement Techniques (TIMP)

1. **TECHNIQUE:** Early Flowering.
 - 1.1 **SUMMARY OF THE TECHNIQUE:** Silencing and/or overexpression of genes related to flowering by insertion of genetic modification into the genome and subsequent segregation or by temporary expression by viral vector.
2. **TECHNIQUE:** Technology for Seed Production.
 - 2.1 **TECHNICAL SUMMARY:** Insertion of genetic modification for restoration of fertility in naturally male-sterile lines in order to multiply these lines maintaining the male-sterility condition, without, however, transmitting the genetic modification to the offspring.
3. **TECHNIQUE:** Reverse improvement.
 - 3.1 **SUMMARY OF THE TECHNIQUE:** Inhibition of meiotic recombination in selected heterozygous plants for the characteristic of interest in order to produce homozygous parental lines.

4. **TECHNIQUE:** Methylation of RNA-Dependent DNA.
 - 4.1 **TECHNICAL SUMMARY:** Methylation directed by interfering RNAs ("RNAi") in promoter regions homologous to RNAi with the objective of inhibiting the transcription of the target gene in living beings.
5. **TECHNIQUE:** Mutagenesis Target Site.
 - 5.1 **TECHNICAL SUMMARY:** Protein or riboprotein complexes capable of causing site-directed mutagenesis in microorganisms, plants, animals and human cells.
6. **TECHNIQUE:** Oligonucleotide Directed Mutagenesis.
 - 6.1 **TECHNICAL SUMMARY:** Introduction into the cell of an oligonucleotide synthesized complementary to the target sequence, containing one or a few nucleotide changes, which may cause substitution, insertion or deletion in the target sequence through the cell repair mechanism (microorganisms, plants, animals and human cells).
7. **TECHNIQUE:** Agro infiltration/Agro infection.
 - 7.1 **TECHNICAL SUMMARY:** Leaves (or other somatic tissue) infiltrated with *Agrobacterium* sp. or gene constructs containing the gene of interest to obtain temporary expression at high levels located in the infiltrated area or with viral vector for systemic expression, without the modification being transmitted to subsequent generations.
8. **TECHNIQUE:** RNAi topical/systemic use.
 - 8.1 **TECHNICAL SUMMARY:** Use of double stranded RNA ("dsRNA") sequence homologous to the target gene(s) for specific silencing of such gene(s). The engineered dsRNA molecules can be introduced/absorbed by the cell from the environment.
9. **TECHNIQUE:** Viral Vector.
 - 9.1 **SUMMARY OF THE TECHNIQUE:** Inoculation of living organisms with recombinant virus (DNA or RNA) expressing the genetic modification and amplification of the gene of interest through the mechanisms of viral replication, without modification of the host genome.

ANNEX II:

1. With regard to the original organism (Parentals), inform:
 1. The identification of the genetic technology, purpose and intended use of the resulting organism and its derivatives;
 2. The taxonomic classification, from family, to the most detailed level of the organism to be released, including, where appropriate, subspecies, cultivar, patovar, strain and serotype;
 3. The risk classification of the genetically modified organism in accordance with Normative Resolution No. 2 of November 27, 2006;

4. The gene(s) and/or genetic element(s) handled, the organism(s) of origin and their specific functions, where applicable;

5. The genetic strategy(ies) used to produce the desired modification(s); the genetic map(s) of the building(s) used in the process indicating, with all genetic elements present;

6. Molecular characterization of the result of manipulation in the recipient organism (parent and product), where applicable, providing information related to: (1) number of manipulated copies (e.g. number of genomic sequences, number of alleles, etc.); (2) location in the genome of the manipulated region, where possible; (3) identify the presence of unintentional genetic modifications (off-target), when applicable.

7. The product of expression of the manipulated genomic region(s), described in detail, where applicable.

2. With regard to the product (offspring, lineage or final product) inform:

1. Proof of the absence of recombinant DNA/RNA molecules, through the use of molecular methods.

2. Whether the product containing DNA/RNA molecules for topical/systemic use has the recombinant ability to enter into target species and/or non-target species.

3. Whether the product covered by the application is commercially approved in other countries.

4. If the product uses the gene drive principle that may allow the phenotypic change conferred to have the potential to spread throughout the recipient organism population, explain the care to monitor the organism using at least two strategies.

5. How the possibility of potential unintentional (off-target) effects of the technology that may be present in the product has been assessed.